A microscopic view of several Respiratory Syncytial Virus (RSV) particles. The particles are spherical with a distinct outer shell and a core. The most prominent particle in the center is covered in numerous spike-like projections (hemagglutinin and fusion proteins) that give it a crown-like appearance. Other particles are visible in the background, some in focus and some blurred, against a dark blue background.

Magnitude and Durability of Anti-F IgG and Palivizumab-Competitive Antibody (PCA) Responses One Year Following Immunization with RSV F Nanoparticle Vaccine Adjuvanted with Aluminum Phosphate, or a Novel Adjuvant, Matrix-M™

RSV 2018 Symposium

Nov 1, 2018

Vivek Shinde, MD MPH

NOVAVAX

Creating Tomorrow's Vaccines Today

RSV F vaccine

- Novavax RSV F Vaccine is composed of a recombinant near full length F protein
 - Prefusogenic F trimers are associated with PS80 detergent micelles to form stable 40nm particles
 - RSV F Vaccine is thermodynamically stable, resists denaturation, and is not randomly aggregated.
 - For more details on structural and antigenic characterization see posters:
 - **Poster #69** In-depth Analytical Characterization and Structural Modeling
 - **Poster #70** Antigenic Characterization against a Broad Range of Neutralizing Monoclonal Antibodies
 - **Poster #71** Physical and Antigenic Structure, Immunogenicity, and Protection
 - **Poster #72** Feasibility Evaluation of Blow Fill Seal Process with Aluminum Adjuvanted Recombinant RSV F
 - **Poster #73** Binding Kinetics of RSV F Vaccine to Palivizumab and Serum Polyclonal Antibody
- In 9 separate clinical trials in adults, Novavax' RSV F Vaccine, formulated with or without Aluminum adjuvant, was found to have an acceptable safety profile and elicit robust RSV-specific antibody responses.

Unadjuvanted RSV F vaccine in older adults:

Experience and lessons through Phase 3

- Phase 2 trial demonstrated clinical efficacy (**41%** vs. RSV-ARD; **64%** vs. RSV-msLRTD)
 - Placebo attack rate **4.9%**, *single season*
- Phase 3 trial failed to meet efficacy endpoints
 - Placebo attack rate **1.9%**, *single season*
- Spawned two major lines of investigation:
 1. Is the vaccine construct optimal and should an adjuvant/2-dose strategy be employed?
See 3 posters on construct listed in the previous slide; this talk will focus for the adjuvant effect and 2-dose strategy
 2. Was there an external factor leading to failure to meet endpoints?
And, was there a phase 3 signal worthy of additional clinical testing?
- Efficacy observed during periods of high population susceptibility/transmission (Phase 2), but not during periods of low susceptibility/ transmission
 - Same phenomena observed in *single season* influenza vaccine trials
- Consistent evidence of efficacy against COPD hospitalizations RSV trials (Phase 2 and 3), suggest:
 - An under-recognized, under-studied, and unaddressed burden of RSV disease in COPD
 - Opportunity for an RSV vaccine to prevent COPD exacerbations to a degree that current pharmacotherapies cannot

Unadjuvanted RSV F vaccine in older adults:

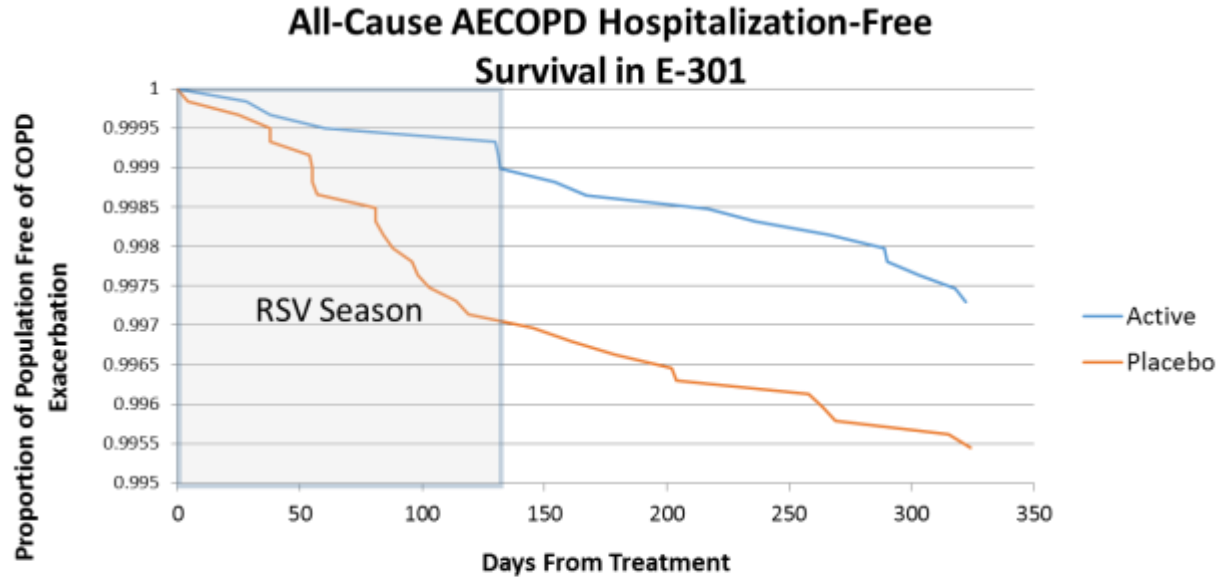
Post-hoc efficacy signal in E201 / E301: COPD exacerbation hospitalizations

Post-hoc Analyses of Hospitalizations for **All Cause** acute exacerbation of COPD in E-201 and E-301 data from the **Safety** Database

E301 Day 0-182	Placebo	Vaccine	VE%	95% CI	p value
AECOPD hospitalization rate (all subjects)	23/5935 (0.39%)	9/5921 (0.15%)	60.8%	15.2—81.9	0.017
AECOPD hospitalization rate (Identified baseline COPD)	15/362 (4.1%)	9/403 (2.2%)	46.1%	-23—76.4	0.14
E 201 Day 0-182					
AECOPD hospitalization rate (all subjects)	4/801 (0.50%)	0/798 (0%)	100%	NC	NC
AECOPD hospitalization rate (Identified baseline COPD)	2/62 (3.2%)	0/58 (0%)	100%	NC	NC

Unadjuvanted RSV F vaccine in older adults:

Post-hoc efficacy signal in E201 / E301: COPD exacerbation hospitalizations



- RSV F vaccine effect occurs—as expected—during the RSV season

Unadjuvanted RSV F vaccine in older adults:

Experience and lessons through Phase 3

- Higher anti-RSV specific antibody titers were associated with less risk of RSV disease; “more antibody is better”
- However, largely overlapping antibody distributions between protected and unprotected individuals imply that:
 - There is no absolute protective cut-off titer in older adults
 - Available measures of anti-RSV specific antibodies may be relative (not absolute) correlates of protection in adults
- Phase 2 and 3 trials suggested that unadjuvanted RSV F vaccine can have efficacy in older adults, but needed enhancement of the immune response
 - Suggestion that repeat dosing (phase 2 re-immunization study) offers an avenue to improve efficacy
 - Classic and novel adjuvants were other obvious choices to consider moving forward

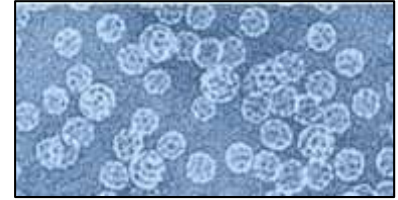
Phase 2 (RSV-E-205)

Evaluation of adjuvants and dose regimens with RSV F vaccine in older adults

Rationale/aim	<ul style="list-style-type: none">▪ Evaluate adjuvants and repeat dosing as potential avenues to enhance vaccine immunogenicity in older adults
When	<ul style="list-style-type: none">▪ Trial initiated in Jan 2017 in Australia
Design	<ul style="list-style-type: none">▪ 300 healthy older adults (aged ≥ 60 years)▪ Randomized, observer-blinded, placebo-controlled, evaluation of RSV F with and without aluminum phosphate or our proprietary Matrix-M™ adjuvant; in one or two-dose regimens
Objectives	<ul style="list-style-type: none">▪ To ascertain whether adjuvantation or a two-dose primary regimen can alter the quantity and quality of the immune response to RSV F Vaccine in older adults▪ To identify one or a small number of regimens meriting further evaluation in additional safety and immunogenicity and eventual efficacy▪ To evaluate the safety of revised regimens and formulations of RSV F in older adults
Endpoints	<ul style="list-style-type: none">▪ Safety▪ RSV-specific immune responses by MN, anti-F IgG, PCA, and cell mediated immunity (CMI)

Matrix-M™ adjuvant

- Potent saponin-based adjuvant
 - Purified fractions extracted from the bark of *Quillaja saponaria* Molina
 - Formulated with cholesterol and phospholipid, forming cage-like particles
- Shown to have the following properties in the context of various antigens:
 - Leads to enhancement of activated T cell, B cell, and APC populations in draining lymph nodes
 - Induction of functional, and broadly cross-reactive antibodies (Shinde et al, NEJM, 2018)
 - Induction of polyfunctional T cells, both CD4+ and CD8+
 - Antigen sparing in the context of pandemic influenza
- > 2,300 adults have been exposed to Matrix™-M in ongoing and complete clinical trials
 - Acceptable safety profile



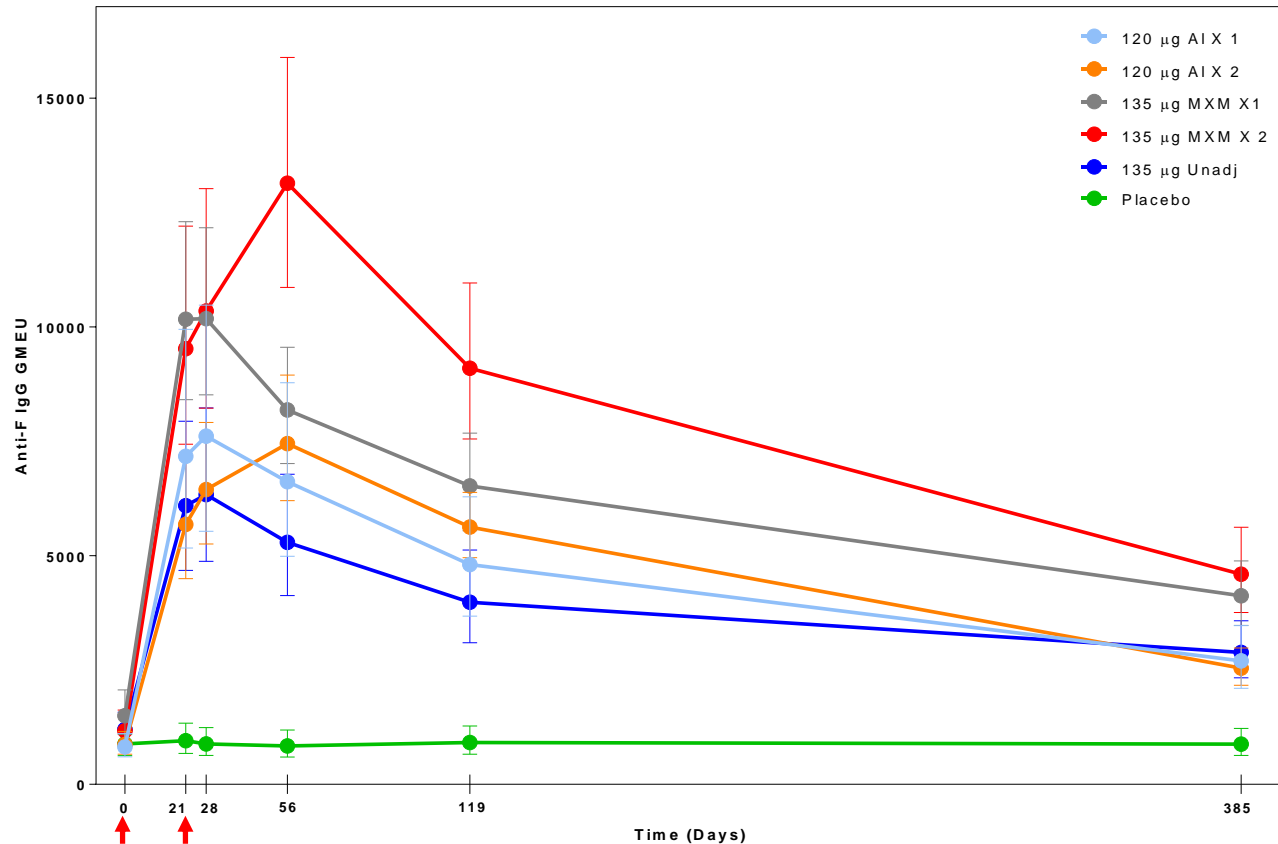
E-205: treatment groups

[Focus on placebo, unadjuvanted formulation, and 4 treatment groups with best immune responses]

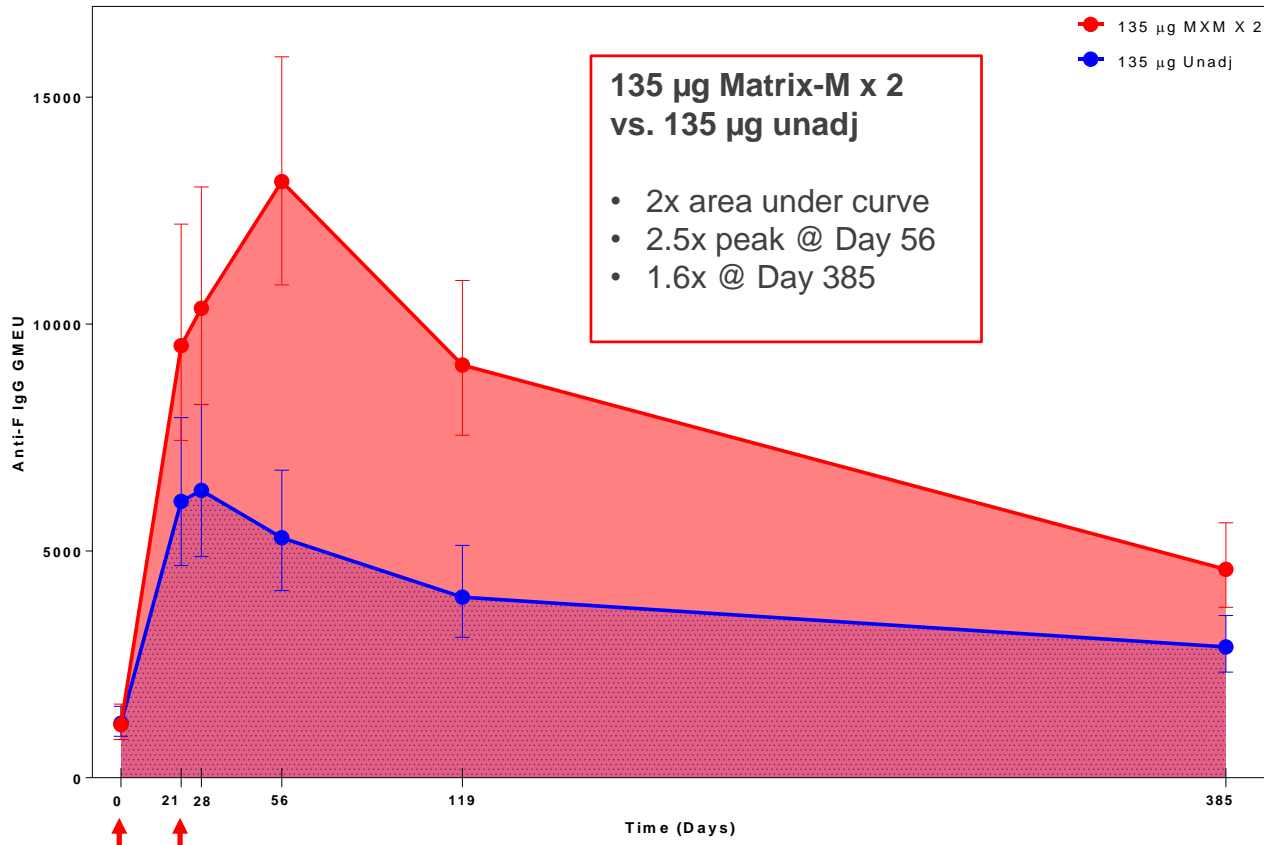
Study Day		Day 0			Day 21		
Treatment Group	Subjects Per Group	RSV F Dose	Aluminum Dose	Matrix-M1 Dose	RSV F Dose	Aluminum Dose	Matrix-M1 Dose
A	25	135 µg	0	0	0	0	0
B	25	95 µg	0.3 mg	0	0	0	0
C	25	95 µg	0.3 mg	0	95 µg	0.3 mg	0
D	25	120 µg	0.4 mg	0	0	0	0
E	25	120 µg	0.4 mg	0	120 µg	0.4 mg	0
F	25	135 µg	0	50 µg	0	0	0
G	25	135 µg	0	50 µg	135 µg	0	50 µg
H	25	65 µg	0	50 µg	0	0	0
J	25	65 µg	0	50 µg	65 µg	0	50 µg
K	25	35 µg	0	50 µg	0	0	0
L	25	35 µg	0	50 µg	35 µg	0	50 µg
M (Placebo)	25	0	0	0	0	0	0
Total	300 Subjects						

E-205 Kinetics of **Anti-F IgG** in representative groups:

Adjuvant effect, 2nd dose effect, and durability of responses

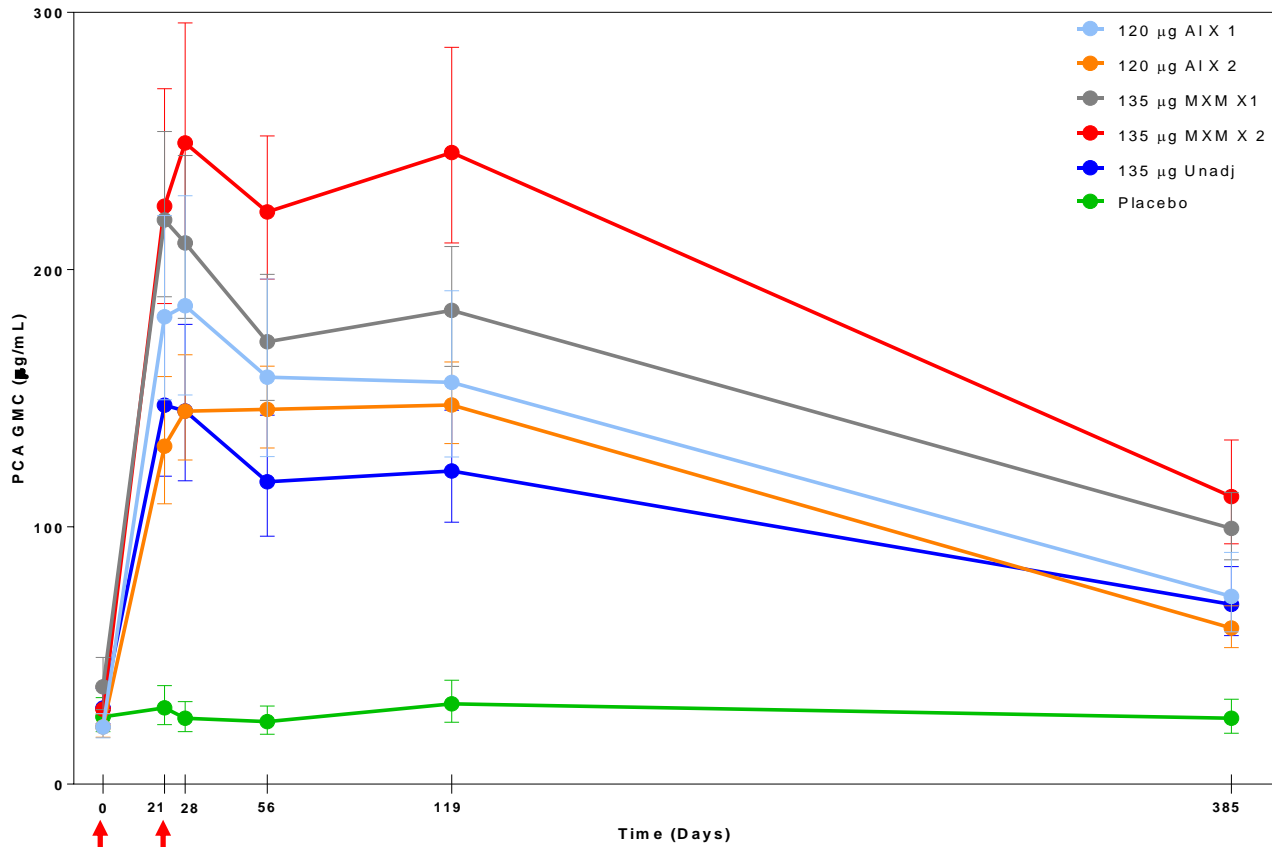


E-205 Kinetics of **Anti-F IgG** in 135 μg unadjuvanted vs. 135 μg Matrix-M x2: Substantial increases in peak and long-term responses

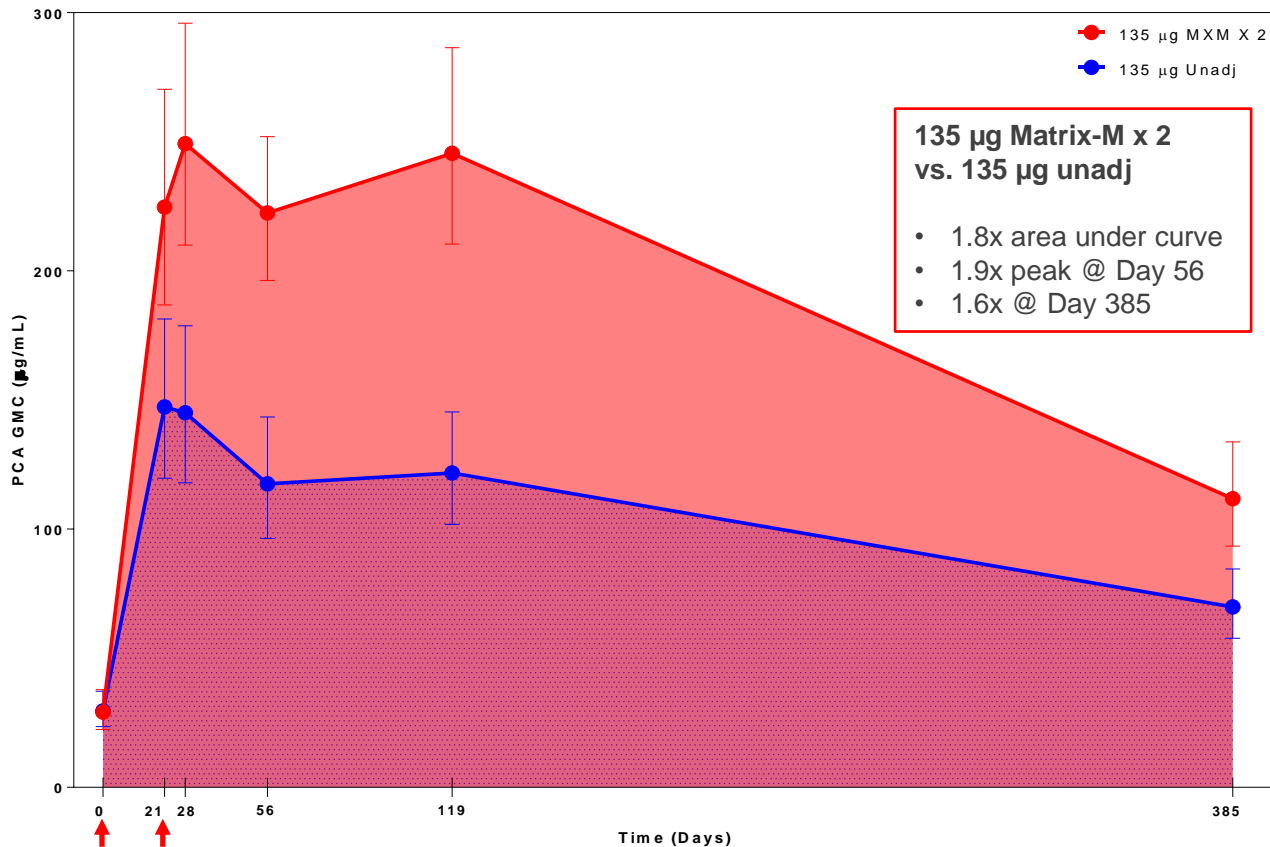


E-205 Kinetics of **PCA** in representative groups:

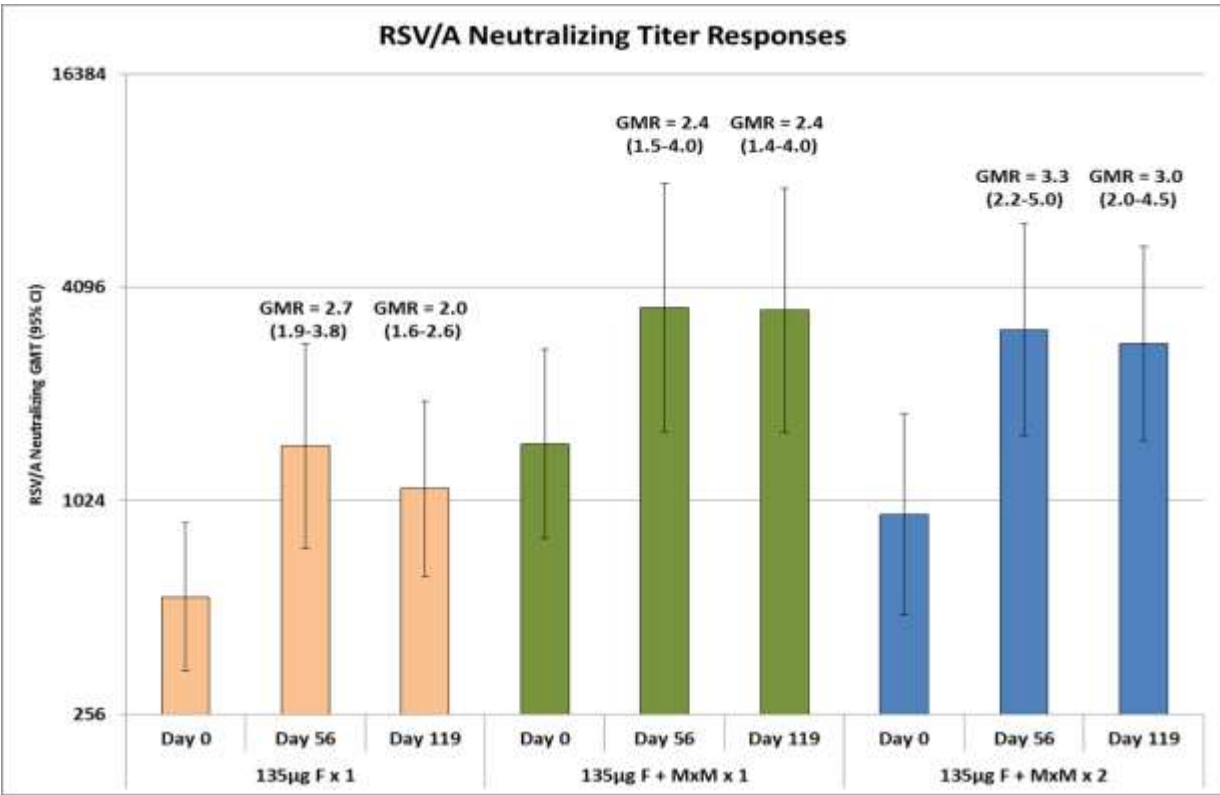
Adjuvant effect, 2nd dose effect and durability of responses



E-205 Kinetics of PCA in 135 µg unadjuvanted vs. 135 µg Matrix-M x2: Substantial increases in peak and long-term responses



E-205 RSV/A neutralizing antibodies in control and Matrix-M groups (ELISA-based method)

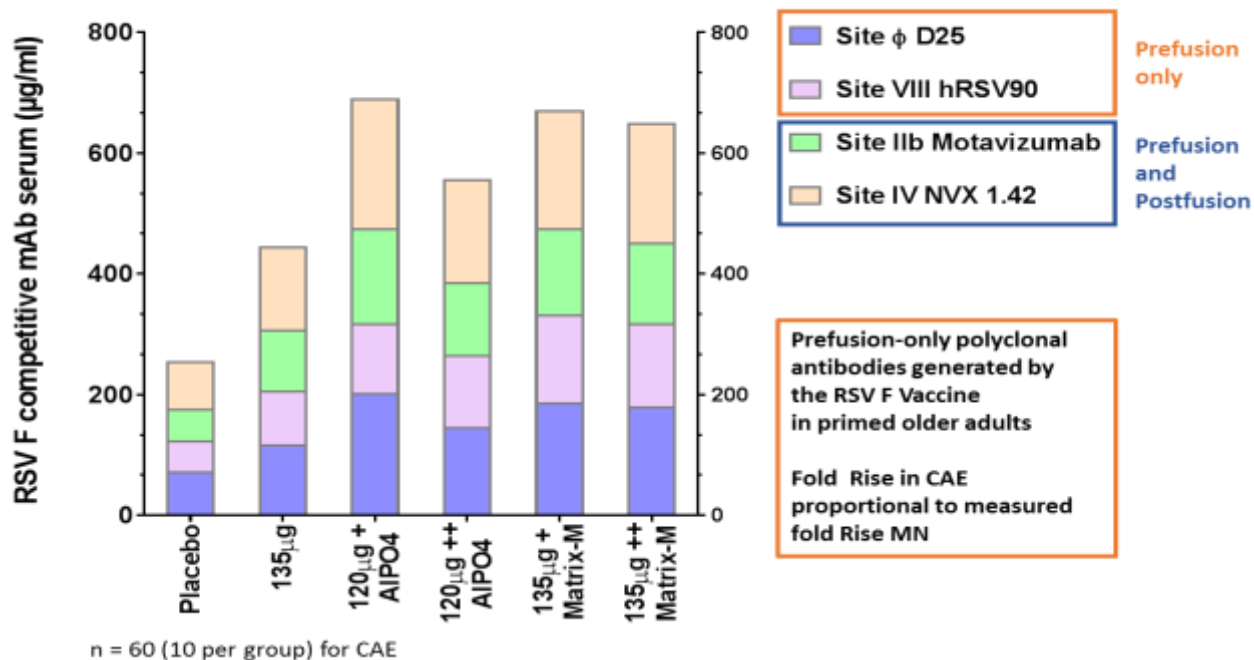


Sustained MN Response to Adjuvanted Vaccine



E205 Competitive Antibody Equivalents (CAE) detected by biolayer interferometry: Polyclonal antibodies to pre-fusion and post-fusion epitopes

Older Adult Sera @ Day 56 (E-205)



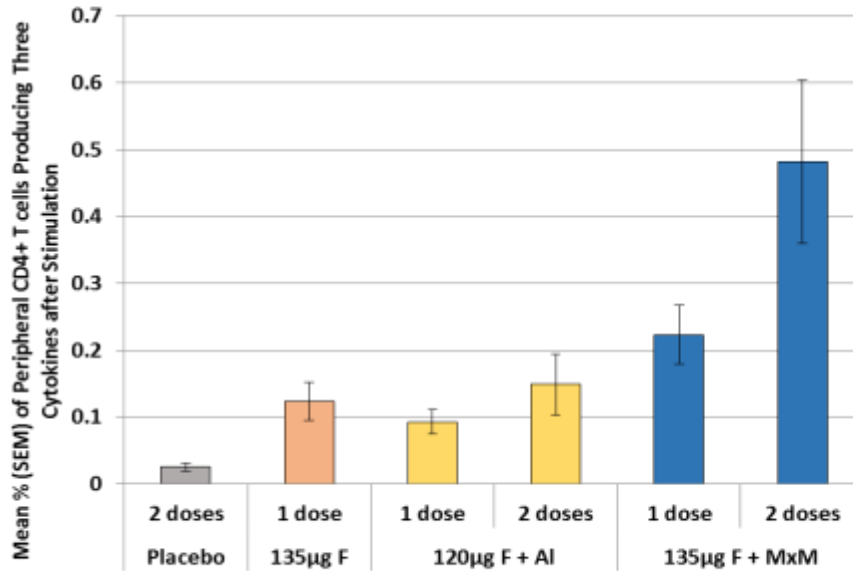
Competitive antibody equivalents (CAE) detected by biolayer interferometry using previously characterized mabs to RSV F protein

E-205 Cellular immune responses:

Matrix-M enhances triple cytokine positive RSV F-specific CD4+ responses

CD4+ T Cell Responses by Intracellular Staining

CD4+ T cells Producing IFN γ , TNF α , and IL-2 After Stimulation with RSV F Peptide Pools



n = 54 (4 placebo, 10 per vaccine group)

Treatment	Doses	Day 0	Day 28
Placebo	2	0.026	0.014
135 μ g F	1	0.028	0.124
120 μ g F + AI	1	0.016	0.093
120 μ g F + AI	2	0.011	0.218
135 μ g F + MxM	1	0.023	0.223
135 μ g F + MxM	2	0.022	0.482

E-205 conclusions

- With respect to safety, all adjuvanted formulations were clinically tolerable
- The totality of immune responses makes use of adjuvants and two-dose regimens desirable
 - Both adjuvants enhanced the **magnitude of peak** antibody responses
 - Only Matrix-M substantially **extended the long-term durability** of responses
 - **Two dose regimens** further enhanced the effects of adjuvants on peak and duration of responses
 - **T-cell immunity** was observed in all regimens, but was most notably enhanced by Matrix-M
 - High levels of antibodies competitive with site IIb (mota), site ϕ , and site IV antibodies were induced and enhanced by adjuvants
- 135 μ g RSV F with Matrix-M, in a 2 dose regimen, outperformed all other formulations/regimens across a variety of humoral and cellular immune measures
 - Near doubling of peak responses and area under the curve as compared unadjuvanted formulation
 - One year responses 60% higher as compared to unadjuvanted formulation
- E205 data builds confidence in the continued development of Matrix-M adjuvanted RSV F vaccine in older adult, COPD, and other high-risk populations

A microscopic view of several coronavirus particles, characterized by their spherical shape and prominent surface spikes, set against a dark blue background. The particles are rendered in shades of light blue and white, with some appearing more sharply in focus than others.

Thank you

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